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OCT 25 2004

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01493  
CPH/lđ

In re Application of:  
Eric G. Lundquist, et al.

Serial No 10/779,931 : Group Art Unit: Not Yet Assigned

Filed: February 17, 2004 : Examiner: Not Yet Assigned

For: **POLYMERIC NANOPARTICLES IN CONSUMER PRODUCTS**

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Fax No.: 703-872-9306**

**CERTIFICATE OF FACSIMILE**

Sir:

I hereby certify that the following correspondence is being sent by facsimile to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

**Supplemental Information Disclosure Statement  
List of Cited Art By Applicant (PTO Form 1449)**

10/25/2004  
Date

Russ Dawson  
Signature

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith substitute for form 1449/PTO "Information Disclosure Statement by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicant (s) have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

**CERTIFICATION**

Check one of the following two paragraphs

- ☒ [X] The additional references cited herewith were cited in a communication from a non-US patent office regarding a non-US counterpart application not more than 3 months prior to the filing of this statement, or
- ☐ [ ] The additional references were not cited in such a communication and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

Respectfully submitted,



Kim Jessum  
Attorney for Applicant (s)  
Reg. No. 43,694  
Tel. No. (215) 592-3689

October 25, 2004  
Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. <b>A01493</b>	Serial No. <b>10/779,931</b>
Applicant <b>Eric G. Lundquist</b>	
Filing Date <b>February 17, 2004</b>	Group <b>To be assigned</b>

**U.S. Patent Documents**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
AA	2002/177522	11/28/2002	Alexander, IV, et al.		
AB	2003/0059599	3/27/2003	Beckley, et al.		
AC	2003/086894	5/8/2003	Hensen, et al.		
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
AL					
AM					
AN					
AO					
AP					

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

AR	
AS	
AT	

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Rohm and Haas Company Modified Form PTO 1449